



**NEW ENGLAND ASSOCIATION OF SCHOOLS AND COLLEGES
COMMISSION ON INSTITUTIONS OF HIGHER EDUCATION**

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**Procedures for the
Review of Institutions Moving to the Higher or Lower Degree Level**

Overview: Because the accreditation of an institution extends only to the degree levels awarded at the time of its most recent evaluation by the Commission on Institutions of Higher Education, per the Commission's Policy on Substantive Change, moving to the higher or lower degree level is considered a substantive change. Indeed, it is one of the most fundamental changes an institution can make.

Examples of moving to the higher degree include an associate's degree-granting institution beginning to offer the bachelor's degree, a bachelor's degree-granting institution beginning to offer the master's or other graduate degrees, and the master's degree-granting institution beginning to offer the doctorate.

The initiation of the Ph.D. is considered a substantive change.

Also, the initiation of coursework leading to a degree, certificate or credential at a level higher than the degree level at which the institution is currently accredited is considered a substantive change.

From time to time, an institution may add programs at a lower degree level; for example, a graduate-only institution may add a baccalaureate degree. This, too, is a substantive change and the procedures in this document apply¹.

In these cases, the Commission must evaluate the initiative and take positive action to extend the institution's accreditation to the new degree level.

As with all substantive change requests, the report should be submitted to the Commission no later than six months before the institution plans to enroll students. When possible, an earlier submission is advised.

Procedures: The following procedures describe the means by which such evaluations take place. Through their application, the Commission works closely with the institution to facilitate its transition to the higher (or lower) degree level. By this means the

¹ In the remainder of this document, any time "higher degree" is used, the material also applies to the initiation of a "lower degree."

Commission endeavors to assure that the higher (or lower) degree programming is developed and offered in keeping with the *Standards for Accreditation* at appropriate levels of quality and is sustained with sufficient support.

Questions about these procedures should be directed to Commission's staff. Institutions planning a move to the higher degree should also consult the Commission's "Policy on Substantive Change" and its "Statement on Credits and Degrees."

The Process

Advance Notice: An accredited or candidate institution contemplating offering one or more programs at a higher (or lower) degree level than its accreditation is asked to inform the Commission of its intent. Often this is done through the Annual Report Form which is sent to affiliated institutions in the late spring. However, the institution is encouraged to bring such initiatives to the Commission's attention at any time when they move from consideration to actual planning. Doing so facilitates early consultation with the Commission's staff to the end that Commission expectations and applicable policies and procedures are clearly understood and that a timely evaluation of the institutional effort is facilitated.

Submission of Plans: Prior to offering instruction at the new degree level, the institution provides the Commission its detailed plans to do so. This proposal affords the Commission an opportunity to review the initiative and provide feedback prior to its implementation. As noted above, the proposal should be submitted to the Commission at least six months before the institution plans to implement the proposed changes. The Commission will consider proposed moves to the higher degree only after all institutional and any necessary state approvals have been achieved.

Because moving to the higher (or lower) degree level represents a fundamental institutional change, through the review of institutional plans, the Commission seeks assurance that the rationale for the proposed degree(s) as well as the consequent changes in mission, faculty, student body, student support, and resources needed for the academic program(s) have been carefully considered by the institution's governing board, administration, and faculty, and that there are plans in place to accomplish the changes over a period of several years. Also, in reviewing institutional plans, the Commission seeks assurance that the institution has in place the appropriate means to review the changes and their implementation and use the results of those reviews for improvement.

The proposal should demonstrate explicitly and in detail that the institution has realistic plans in place to offer the proposed degree program(s) in keeping with the *Standards for Accreditation*. In addition, the proposal should address each of the Standards, as appropriate, to provide information about the actions planned and in place to assure the changes necessary for an institution offering the new degree level. A recommended outline for the proposal may be found at the end of this document.

The institution is encouraged to consult with Commission staff as it prepares its proposal.

Preliminary Commission Review: The Commission will review the proposal at its next scheduled meeting following its receipt, provided it is received at least one month prior to the meeting. The purpose of this review is to: (1) determine if the proposed programming is consistent with the institution's mission and fulfills the *Standards for Accreditation* and relevant Commission policies; (2) assess the institution's planning for the proposed degree(s) and identify any areas to be monitored during implementation; and (3) make a determination about whether to include the degree(s) within the institution's accreditation. Following a favorable review or upon taking any necessary corrective steps identified by the Commission during its preliminary review, the institution should proceed with the implementation of its plans. In disseminating information about its initiative at the new degree level to prospective students and others, the institution should accurately describe the accreditation status of the activity in keeping with the Commission's standard on *Public Disclosure*.

Site Visit: Within two years of the program(s)'s initiation, but before the first class is graduated, the Commission assesses the implementation of institutional plans through an on-site evaluation. This may be accomplished through a visit focused on the new initiative alone, or as part of a previously scheduled evaluation, with specific attention given to the new activity at the new degree level. When conducted through a visit focused on the new initiative alone, the "Procedures for the Substantive Change Evaluation Visit" will be followed in these reviews.

Prior to the site visit, the institution prepares an update of its original proposal to reflect and assess actual experience. The institution is also asked to give particular attention in the update to plans for any additional programming at the higher (or lower) degree level being contemplated as well as the capacity of the institution to implement such plans.

Commission Action: Following its review of the institutional materials and visiting team's evaluation report, utilizing its usual procedures, the Commission takes an action to confirm the extension of the institution's accreditation to the new degree level. The Commission may stipulate further monitoring that may include focused evaluations of the institution at the new degree level and/or requirements that the institution's further development at the new degree level be given particular emphasis in previously scheduled interim reports, which may be followed by a visit, or in comprehensive self-studies.

Should the Commission find that the institution has not satisfactorily implemented its own plans and/or not taken the corrective steps indicated by the preliminary consideration, or if it determines that there are other impediments to offering programming at the new degree level in keeping with the *Standards for Accreditation*, the institution will be asked to remedy expressed concerns before confirming the extension of the institution's accreditation to include the new degree. In such cases, the Commission indicates plans for a subsequent review.

Following initial extension of accreditation to include a program at a new degree level, institutions are required to notify the Commission of their intention to initiate other programs at the new degree level, or to modify substantively the content or the delivery of programs previously reviewed.

Typically, the Commission will review at least three programs at a new degree level before granting general approval at that level, as the Commission expects to see developed capacity at the new degree level, success with initial programs, and, the development of an institutional culture supporting academic programming at the new degree level.

Recommended Outline of the Proposal for Moving to the Higher (or Lower) Degree

While no length of the proposal is specified, generally a proposal of approximately 15-20 pages (plus attachments such as program outlines, course descriptions, representative syllabi, specific information about faculty qualifications, a multi-year budget, and documentation of approval by the institution's governing board and, as required, the state) is satisfactory. And while no format is prescribed for the proposal, it is useful to provide a concise description of the proposed change, followed by a discussion of how the institution has planned for the change, considering each of the Commission's *Standards for Accreditation*, as illustrated below. Institutions are encouraged to send a draft of their proposal for review by Commission staff.

Cover page: Include the institution's name and location, the date, and the purpose of the proposal.

Proposal Summary: State the degree(s) the institution plans to offer, the intended student body, when the institution proposes to implement the program(s), and where and how the programming will be delivered. Indicate dates when the proposed degrees were approved by the institution's governing board and any applicable state authority. Include a copy of such approvals as appendices to the proposal.

Standards for Accreditation: Describe how, through the proposed program(s), the institution will continue to fulfill each of the eleven Standards:

1. Mission and Purposes: Describe how the proposed move to the higher (or lower) degree is based in the institution's mission and how, if at all, the move to the higher (or lower) degree will change the institution's mission.

2. Planning and Evaluation: Describe the institution's planning for the proposed program(s), including how the need or market for the proposed degree(s) was determined. Describe how the institution's governing board, administration, and faculty were involved in the planning. As appropriate, describe the involvement of external groups, such as advisory groups. Provide information about how the institution will evaluate the changes in the institution as a result of moving to the higher degree. (Note 2.8)

3. Organization and Governance: Describe how the new degree will be situated in the institution's organization and how the governance system will accommodate – or be changed to accommodate – the new degree. Discuss the process through which the institution's governing board reviewed and approved the proposed degree. (Note 3.3 and 3.10)

4. The Academic Program: Describe the proposed academic program(s): student learning goals, structure, curriculum, delivery format, and content. Indicate how academic requirements for the new program(s) are benchmarked against similar programs at peer institutions and against standards and requirements within the relevant professional field, as appropriate. Provide evidence of the institution's capacity to offer the new program(s) and to maintain its current programs at an acceptable level of quality. Include plans for the assessment of student learning as well as the schedule and plan for program review. Describe how the administration and faculty will review and ensure the academic quality of the program(s) at the new degree level. Discuss how the institution has addressed the relevant aspects of this Standard with respect to the proposed degree level and academic integrity. (Note 4.3, 4.11, 4.21-4.29, 4.33, 4.34, 4.48-4.54) Include program outlines, course descriptions, and representative syllabi as appendices.

5. Faculty: Describe the institution's plans to assure that it has sufficient numbers of faculty qualified for the instructional and, as appropriate, the research and other scholarly aspects of the degree level proposed, including any plans to hire new faculty. Describe the effect the implementation of the new program(s) will have on the allocation of current faculty time and how the institution will continue to assure the quality of programs currently taught by faculty who will be reassigned to the new program. Include information about the institution's plans to support the greater expectations for faculty scholarship and research associated with moving to the higher degree. Discuss how students enrolled in the proposed program will be advised, including, as appropriate, dissertation/thesis advising. (Note 5.2, 5.7, 5.19, 5.21, 5.22) Include as appendices faculty cv's and job descriptions for new faculty, as appropriate.

6. Students: Describe the student body the institution intends to serve with the new program(s) and how students will be recruited and considered for admission. Include information about the institution's goals for retention and graduation rates for students enrolled in the new program(s). Discuss how the significant presence of students studying for the higher degree will change the culture and atmosphere of the institution. Include evidence that the institution has the capacity to provide the services required by its current students as well as the new student body, including information about any new services to be provided. (Note 6.1-6.11)

7. Library and Other Information Resources: Describe the institution's plans to assure that its library and other information resources are sufficient to support the implementation of the new degree, including any plans to increase staffing. Include budget projections for the resources that will be needed. Provide information about any new technology that may be needed and how will it be supported. Discuss how the

institution will ensure that students enrolled in the new program(s) use information resources at a level appropriate to the proposed degree. (Note 7.2, 7.4, , 7.5, 7.7, 7.8, 7.9)

8. Physical and Technology Resources: Describe the physical and technological resources available to support the move to the new degree level. If any new physical and/or technological resources will be needed, provide information about how the institution will acquire and support them. (Note 8.2, 8.4)

9. Financial Resources: Provide evidence of the institution's financial capacity to offer the proposed program(s). Include multi-year enrollment projections and revenue and expense budgets, including indirect costs, for the new degree(s). Discuss how the institution's governing board has considered the financial aspects of the planned change. (Note 9.3, 9.8, 9.10)

10. Public Disclosure: Provide information about how the proposed program(s) will be described in official institutional print and electronic publications. Discuss how the institution will ensure that students and prospective students understand the learning goals, resources, curriculum, and other aspects of the new degree(s). (Note 10.1)

11. Integrity: Discuss how the institution has reviewed the Commission's Standard on *Integrity* and its own policies and procedures on integrity to ensure the appropriate consideration of any relevant issues.

Future Plans: Conclude with a discussion of the institution's plans, if any, to offer additional programs at the proposed degree level or to undertake other substantive changes.

Submission of the Proposal

One electronic copy (pdf format) and four paper copies of the proposal, including all appendices, should be sent to the Commission office. Proposals should be single spaced, printed on both sides of the paper and clipped together. Please do not use three-ring binders or elaborate printing options.

September 30, 1993
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September, 2001
November, 2003
November, 2005
September, 2006
June, 2008
July, 2009
October, 2009
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